



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Initial Patent Examination
: Division
Jack O. Chu et al. :
:
Serial No.: 09/838,892 : Date: September 17, 2001
Confirmation No.: 1665 :
:
Filed: April 20, 2001 :

For: Epitaxial and Polycrystalline Growth of $\text{Si}_{1-x-y}\text{Ge}_x\text{C}_y$
and $\text{Si}_{1-y}\text{C}_y$ Alloy Layers on Si by UHV-CVD

**FILING OF MISSING PARTS OF NONPROVISIONAL APPLICATION
UNDER 37 CFR 1.53(b)
FILING DECLARATION AND POWER OF ATTORNEY
FILING ASSIGNMENT
FILING FORMAL DRAWINGS**

To: Assistant Commissioner for Patents
Initial Patent Examination Division
Washington, D.C. 20231

Sir:

On April 20, 2001, the above-identified application was filed with the U.S. Patent and Trademark Office, under Rule 1.53(b) Missing Parts.

Enclosed at this time is an original Declaration, which includes the signatures of the five inventors.

Also attached for filing is the original fully executed Assignment for the above-identified application with the required Recordation Form Cover Sheet.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/838,892	04/20/2001	Jack Oon Chu	YO920010308US1

CONFIRMATION NO. 1665

FORMALITIES LETTER



OC000000006187586

IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT.
P.O. BOX 218
YORKTOWN HEIGHTS, NY 10598

Date Mailed: 06/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

09/20/2001 MBELETE1 00000027 090468 09838892

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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